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PATENT

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Case Docket No. ASMMC.013C2

Date: September 5, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : SUNTOLA et al.
App. No. : 09/855,321
Filed : May 14, 2001
For : METHOD FOR GROWING THIN FILMS
Examiner : Unknown
Group Art Unit : 1763

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: United States Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202, on

September 5, 2002

(Date)

Adeel S. Akhtar, Reg. No. 41,394

TRANSMITTAL LETTER

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with 1 references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Adeel S. Akhtar
Registration No. 41,394
Attorney of Record

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INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office
P.O. Box 2327
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Dear Sir:

Enclosed is form PTO-1449 listing 1 reference that is also enclosed. The reference is a claim construction ruling arising from litigation involving several U.S. patents, including U.S. Patent No. 6,015,590, to which the present application claims priority under 35 U.S.C. §120. U.S. Patent No. 6,015,590 is specifically discussed at pages 4-22 of the ruling. Applicants do not agree with all of the findings, but submit the ruling in so far as it is material to the patentability of the presently claimed invention. Applicants note that the ruling is subject to appeal.

This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(2)

I hereby certify that no item of information contained in this Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37

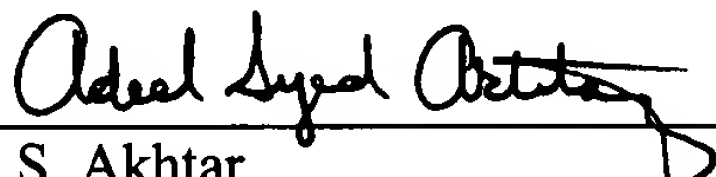
Appl. No. : 09/855,321
Filed : May 14, 2001

C.F.R. § 1.56(c) more than three months days/months prior to the filing of this Information
Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: September 5, 2002

By: 
Adeel S. Akhtar
Registration No. 41,394
Attorney of Record
2040 Main Street
Fourteenth Floor
Irvine, CA 92614
(949) 760-0404

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